

BIRCH, EWART, KOLASCH & BIRCH, LLP

TERRELL C. BIRCH
RAYMOND C. STEWART
JOSEPH A. KOLASCH
JAMES M. SLATTERY
BERNARD L. SWEENEY*
MICHAEL K. MUTTER
CHARLES GORENSTEIN
GERALD M. MURPHY, JR.
LEONARD R. SVENSSON
TERRY L. CLARK
ANDREW D. MEIKLE
MARC S. WEINER
JOE McKINNEY MUNCY
ROBERT J. KENNEY
DONALD J. DALEY
JOHN W. BAILEY
JOHN A. CASTELLANO, III

OF COUNSEL:
HERBERT M. BIRCH (1905-1996)
ELLIOT A. GOLDBERG*
WILLIAM L. GATES*
EDWARD H. VALANCE
RUPERT J. BRADY (RET.)*

*ADMITTED TO A BAR OTHER THAN VA.

INTELLECTUAL PROPERTY LAW
8110 GATEHOUSE ROAD
SUITE 500 EAST
FALLS CHURCH, VA 22042-1210
USA

(703) 205-8000

FAX: (703) 205-8050
(703) 698-8590 (G IV)

e-mail: mailroom@bskb.com
web: http://www.bskb.com

CALIFORNIA OFFICE
650 TOWN CENTER DRIVE, SUITE 1120
COSTA MESA, CA 92626-7125

GARY D. YACURA
THOMAS S. AUCHTERLONIE
MICHAEL R. CAMMARATA
JAMES T. ELLER, JR.
SCOTT L. LOWE
MARY ANN CAPRIA
MARK J. NUEL, PH.D.
DARIN E. BARTHOLOMEW
D. RICHARD ANDERSON
PAUL C. LEWIS
W. KARL RENNER
MARK W. MILSTEAD*
JOHN CAMPA*
REG. PATENT AGENTS
FREDERICK R. HANDREN
ANDREW J. TELESZ, JR.
MARYANNE ARMSTRONG, PH.D.
MAKI HATSUMI
MIKE S. RYU
CRAIG A. McROBBIE
GARTH M. DAHLEN, PH.D.
LAURA C. LUTZ
ROBERT E. GOOZNER, PH.D.
HYUNG N. SOHN
MATTHEW J. LATTIG
ALAN PEDERSEN-GILES
JUSTIN D. KARJALA

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Date: December 17, 1999
Docket No.: 0717-0429P

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

As authorized by the inventor(s), transmitted herewith for filing
is a patent application applied for on behalf of the inventor(s)
according to the provisions of 37 CFR 1.41(c).

Inventor(s): KAWANISHI, Hidenori
SHIMONAKA, Atsushi

For: SEMICONDUCTOR LASER DEVICE WITH SPOT-SIZE CONVERTER AND
METHOD FOR FABRICATING THE SAME

Enclosed are:

- X A specification consisting of 50 pages
- X 15 sheet(s) of Formal drawings
- Certified copy of Priority Document(s)
- X Executed Declaration in accordance with 37 CFR 1.64 will follow
- A verified statement to establish small entity status under 37
CFR 1.9 and 37 CFR 1.27
- Preliminary Amendment
- X Information Sheet
- Information Disclosure Statement, PTO-1449 with reference(s)

Other _____

The filing fee has been calculated as shown below:

LARGE ENTITY			SMALL ENTITY		
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE FEE
BASIC FEE	***** ***** *****	***** ***** *****	***** ***** *****	\$760.00	**** **** **** \$380.00
TOTAL CLAIMS	15 - 20 =	0	x18 =\$	0.00	or x 9 = \$ 0.00
INDEPENDENT	3 - 3 =	0	x78 =\$	0.00	or x 39 = \$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED <u>no</u>			+260 = \$	0.00	or +130 = \$ 0.00
TOTAL \$			760.00	TOTAL \$ 0.00	

- X The application transmitted herewith is filed in accordance with 37 CFR 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed patent application together with the surcharge will be forwarded in due course.
- X A check in the amount of \$ 760.00 to cover the filing fee and recording fee (if applicable) is enclosed.
- _____ The Government Filing Fee will be paid at the time of completion of the filing requirement.
- _____ Please charge Deposit Account No. 02-2448 in the amount of \$ _____. A triplicate copy of this transmittal form is enclosed.
- X Send Correspondence to: BIRCH, STEWART, KOLASCH & BIRCH, LLP
P. O. Box 747
Falls Church, Virginia 22040-0747

06429-1499-00

— No fee is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


TERRELL C. BIRCH

Reg. No. 19,382

P. O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000
TCB/dll

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